

## TSS Consultancy Pvt. Ltd.

### About TSS

TSS Consultancy is a fast growing technology solutions provider for the financial industry, with a focus on building innovative products for members of Stock exchanges / depositories. We develop low cost products which help our Clients get complete control of their business and compliance with the exchange.

We provide diverse solutions for different segments under **one single platform** called **TSS Small Office**. It consists of following modules, any one or all of which can be licensed individually.

- ✚ Anti Money Laundering (PMLA Regulations)
- ✚ PMS Back office
- ✚ Intermediary Management
- ✚ Terminal Management
- ✚ PRM (Document Management System)
- ✚ ETT ( Employee Trade Tracker, Insider Trading Regulations)
- ✚ Inward Outward Management

### Sample Clients



## Small Office: ETT (Employee Trade Tracker, Insider Trading)

ETT is a solution that helps to have Employee trade related compliances to be done in spirit. It goes a step above the other similar solutions by cross checking the declared employee trade/ holdings with the Trading / depository system.

### Salient Features

- ✓ **Employee Master:** Exhaustive for maintaining information includes details like date of relieving, multiple dependents and their multiple demat accounts. Also allows storing of scanned identity proofs / address proofs or any such type of documents.
- ✓ **Class Master & Rules:** Allows creation of different classes and then creating rules for each class, like number of days in holding period, amount upto which no approval, intimation done in how many does. Later this class will be allocated period wise in employee master date wise.
- ✓ **Request for a trade:** Complete system driven process.
- ✓ **Grey List:** Allows the organization to maintain a list of select scrips, approvals for which are dealt more seriously.
- ✓ **Approval Rejection Manager Email Integrated:** Complete system driven process. Unique method of assigning approver, like for all employees it will be compliance officer, but for compliance officer it will be director.
- ✓ **Auto Intimation after trade:** When employee trades the intimation happens automatically. Similarly for holdings if demat account is with same dp holding is auto submitted.
- ✓ **Checks & Balances:** System would highlight various discrepancies like trades done without approval, shares sold before holding period, Trades done after expiry of approval etc..

